

Training Plan For STEM OPT Students

Science, Technology, Engineering, and Mathematics (STEM) Optional Practical Training (OPT)

STEM OPT students and their employers are subject to the terms of the Form I-983, Training Plan for STEM OPT Students, effective as of the start date requested for STEM OPT on the Form I-983.

Section 1: Student Information

- To be completed by the student.
- **Student Name**
 - Enter your full name (Surname/Primary Name, Given Name) exactly as it appears on your SEVIS (Student and Exchange Visitor Information System) issued Form I-20 ("Certificate of Eligibility for Non-Immigrant (F-1) Student Status – Form Academic and Language Students").
- **Student Email Address**
 - Enter the email address where you can be contacted.
 - Duke Students: It should be the same address as you reported to Duke Visa Services. If not, update your address at: https://visaservices.duke.edu/forms_and_documents/AddressUpdateForm/index.php
- **Name of School Recommending STEM OPT**
 - Enter the name of your school of most recent enrollment, from which the Designated School Official (DSO) will be recommending STEM OPT.
- **Name of School Where STEM Degree Was Earned**
 - Enter the name of the school from which you earned the degree upon which the STEM OPT is based. This may or may not be the same school recommending the STEM OPT if you are using a prior STEM degree.
- **SEVIS School Code of School Recommending STEM OPT**
 - Enter the SEVIS School code of the school recommending the STEM OPT (including the 3-digit suffix). This would be your current school or school of most recent enrollment. The Duke University school code is ATL214F10087000.
- **DSO Name and Contact Information**
 - Enter the full name and contact information (including official address, phone, and email) of the DSO who is recommending this STEM OPT and processed this Form I-983.
 - Duke Students: Your DSO's address and contact information is located at: <https://visaservices.duke.edu/about/directory.php>
- **Student SEVIS ID Number**
 - Enter your SEVIS identification (ID) number.
 - I-20: SEVIS ID: N00xxxxxxx at the top right on new I-20s issued after 6/2015.
 - I-20: SEVIS ID: N00xxxxxxx is above the barcode on I-20s issued before 6/2015.
- **STEM OPT Requested Period**
 - Enter the period during which you are requesting to work on STEM OPT, regardless of whether the authorized dates match actual training dates.
 - Note that the STEM OPT extension may not end more than 24 months after the scheduled termination of the student's Employment Authorization Document for the current period of post-completion OPT.
 - For a student on 12-month OPT requesting a STEM OPT extension, the start date should be the day after your current 12-month OPT ends.
 - For a student on 17-month STEM OPT requesting conversion to the terms and conditions of a 24-month STEM OPT extension, the F-1 student and the student's employer will be subject to the terms and conditions of the Form I-983. The date of receipt at U.S. Citizenship and Immigration Services (USCIS) and thus the requested period should identify a start date on or before proper filing at USCIS.
 - Students should discuss the start and end dates of their employment with their Duke Department supervisors.
- **Qualifying Major and Classification of Instructional Programs (CIP) Code**
 - Enter your STEM major that qualifies you for the STEM OPT extension, as well as the degree's (CIP) code.
 - Students: The "Program of Study" section of your I-20 will show your CIP code next to Major 1 or Major 2, whichever major reflects your STEM extension.
- **Level/Type of Qualifying Degree**
 - Enter the academic level upon which you are basing STEM OPT.
 - For example, enter Bachelor's, Master's, or Ph.D.
 - Students: The Program of Study section of your I-20 will list your "Education Level". This is your Degree.
- **Date Awarded**
 - Enter the date when the degree, upon which STEM OPT will be based, was awarded.
- **Based on Prior Degree**
 - Check "Yes" if your STEM OPT participation is based on a previously-obtained STEM degree, and is not the same degree upon which your current post-completion OPT was granted.
 - Check "No" if your STEM OPT participation is based on your most recently obtained degree, and that is the degree upon which your current post-completion OPT is based.
- **Employment Authorization Number**
 - Enter your "A" number, which may be found on the Employment Authorization Document (EAD or OPT card).

Section 2: Student Certification

- **Student Certification**
 - Review the certification and affirm the statement by signature.

Section 3: Employer Information

- To be completed by the Employer (Duke employing department).
- **Employer Name**
 - Enter your company, university, etc. name.
 - This will be either “Duke University” or “Duke Health System”.
- **Street Address, Suite, City, State, Zip Code**
 - Enter the employer or company mailing address.
 - Do not enter a Duke Box number.
 - Include the name of your department or office.
- **Employer Website URL**
 - Enter the employer website URL, if available. If no website exists, enter www.duke.edu.
- **Employer ID Number (EIN)**
 - Enter the Employer Identification Number (EIN).
 - This may be “DUMC/DU- 56-0532129”, “DUHS-56-2070036”, or “DUMAC-65-1319939”.
- **Number of Full-Time Employees in the United States**
 - Provide the number of full-time employees in the United States. This figure is approximately 33,000.
- **North American Industry Classification System (NAICS) Code**
 - Enter the company's NAICS code.
 - This may be “DU/DUMC- 611310”, or “DUHS-620000”.
- **OPT Training Hours per Week**
 - Enter the agreed-upon number of average training hours per week.
 - In order to qualify for STEM OPT, the student must work a minimum of 20 hours per week.
- **Start Date of Employment**
 - Enter the date when the student will begin the STEM OPT training with the employer.
- **Compensation**
 - Enter the dollar amount of salary, stipend, and/or other compensation and the frequency of pay (per hour, per week, bi-weekly, monthly).
 - Other compensation may include housing, tuition waivers, and transportation costs.
 - The terms and conditions of a STEM practical training opportunity (including duties, hours, and compensation) must be commensurate with those applicable to similarly situated U.S. workers, except that a STEM OPT participant must work at least 20 hours per week while employed.

Section 4: Employer Certification

- **Employer Certification**
 - The “Employer Official with Signatory Authority” should review the certification and affirm the statement by signature.
 - The “Employer Official with Signatory Authority” is an appropriate individual in the employer's organization that is familiar with the student's goals and performance, and who is an employee that has signatory authority for the employer.
 - Usually, this will be the student's supervisor.
- **Note for Employer Official with Signatory Authority**
 - The “Employer Official with Signatory Authority” attestation includes the certification at Section 4 (d), which states: “The student on a STEM OPT extension will not replace a full-time, part-time, temporary, or permanent U.S. worker. The terms and conditions of the STEM practical training opportunity (including duties, hours, and compensation) are commensurate with the terms and conditions applicable to the employer's similarly situated U.S. workers or, if the employer does not employ and has not recently employed more than two similarly situated U.S. workers in the area of employment, the terms and conditions of other similarly situated U.S. workers in the area of employment”.

Section 5: Training Plan for STEM OPT Students

- Completed by Employer (Student and Supervisor)
- In order to better ensure the academic benefit and integrity of the extension, Federal regulations require each STEM OPT student to prepare and execute (with their prospective employer) a formal training plan that identifies learning objectives and a plan for achieving those objectives. The STEM OPT student and his or her employer must work together to finalize the plan.
- **Student Name**
 - Enter the student's name (Surname/Primary Name, Given Name) exactly as it appears on the student's SEVIS-issued Form I-20 ("Certificate of Eligibility for Non-Immigrant (F-1) Student Status – Form Academic and Language Students").
- **Employer Name**
 - Enter the employer's name, as it appears in "Section 3: Employer Information".
- **Site Name**
 - Enter the employer's site name, which may be the same as employer name in Section 3. However, if the student is working for a branch or subsidiary of a large entity, or anywhere other than the headquarters, please provide the name of this specific work site.
- **Site Address**
 - Enter the exact address of the work site where the STEM practical training will take place.
- **Name of Official**
 - Supervisor or designee.
 - Enter the name of the appropriate individual in the employer's organization who is familiar with (and will monitor) the student's goals and performance. This may or may not be the same Employer Official as in Section 4.
- **Official's Title**
 - Enter the title of the appropriate individual in the employer's organization who is familiar with, and will monitor, the student's goals and performance.
- **Official's Email**
 - Enter the email address of the appropriate individual in the employer's organization who is familiar with, and will monitor, the student's goals and performance.
- **Official's Phone Number**
 - Enter the phone number of the appropriate individual in the employer's organization who is familiar with, and will monitor, the student's goals and performance.
- **Student Role and the Training Program's Direct Relationship to the Student's Qualifying STEM Degree**
 - Describe what tasks and assignments the student will carry out during the training and how these relate to the student's STEM degree.
 - The plan must cover a specific span of time and detail specific goals/objectives.
- **Goals and Objectives**
 - Describe the specific skills, knowledge, and techniques the student will learn/apply, how the student will achieve the goals set out for his or her training, and the training curriculum (including the timeline).
- **Employer Oversight**
 - Explain how the employer provides oversight and supervision of individuals filling positions such as that being filled by the named F-1 student.
 - If the employer has a training program or related policy in place that controls such oversight and supervision, a description of this program or policy may suffice to answer the question.
- **Measures and Assessments**
 - Explain how the employer measures and confirms whether individuals filling positions (such as the position being filled by the named F-1 student) are acquiring new knowledge and skills.
 - If the employer has a training program or related policy in place that controls such measures and assessments, a description of this program or policy may suffice to answer the question.
- **Additional Remarks**
 - Provide any additional pertinent information.

Section 6: Employer Official Certification

- **Certification of Official with Signatory Authority**
 - The individual who signs this Certification need not be, but can be, the same individual who signed the Employer Certification in Section 4. An employee with signatory authority for the employer should review the certification and affirm the statement by signature.
 - On the material change certification (#4), please note that material changes in the plan can include (but are not limited to) the following:
 - Any change of Employer Identification Number resulting from a corporate restructuring
 - Any reduction in compensation from the amount previously submitted on the Form I-983 that is not tied to a reduction in hours worked
 - Any significant decrease in hours per week that a student engages in a STEM training opportunity
 - Any decrease in hours below the 20 hours-per-week minimum required under this rule.

Evaluation on Student Progress

- There are two sections for the evaluation.
- The “Evaluation of Student Progress” should be completed after the first 12 months of the STEM Extension.
- The “Final Evaluation on Student Progress” should be completed after the second 12 months of the STEM Extension.
- Student evaluations are a shared responsibility of both the student and the employer to ensure that the student's practical training goals are being satisfactorily met.
- The student is responsible for conducting a self-evaluation based on his or her own training progress.
- The employer must review and sign the self-evaluation to attest to its accuracy.
- The student submits the first assessment within twelve months and a final evaluation that recaps all the training and knowledge acquired during the complete training period.
- Enter the range of the student evaluation dates (the timeline for which this evaluation is relevant).
- The student must sign, print name, and enter date of signature.
- The Employer Official with Signatory Authority must sign, print name, and enter the date of signature to show concurrence with the assessment information that the student has entered.